

**REPORT ON CORPORATE GOVERNANCE  
(6 months)**

To: - The State Securities Commission;  
- The Stock Exchange.

- Name of company: **CMC INVESTMENT., JSC**
- Address of head office: Alley 83 Ngoc Hoi Road, Yen So Ward, Hanoi city.
- Telephone: 02438612718 Fax: 02438612718 Email: [ngotrongvinh.vn@gmail.com](mailto:ngotrongvinh.vn@gmail.com)
- Charter capital: 45.610.500.000 VND
- Stock symbol: CMC
- Governance model:
- + General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director.
- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders**

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
01	01/NQ- ĐHĐCĐ	29/04/2025	Approving a number of contents: Report on production, business and investment results in 2024 and plan for 2025; Financial report in 2024; and issues under the authority of the 2025 Annual General Meeting of Shareholders.

**II. Board of Directors (annual report):**

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Ngo Trong Vinh	Chairman of Board	29/04/2021	05/05/2025
		Vice Chairman of Board	05/05/2025	

2	Mr. Nguyen Trong Ha	Members of the Board of Directors	29/04/2021	29/04/2021
3	Mrs. Lam Quynh Huong	Members of the Board of Directors	29/04/2021	29/04/2021
4	Mr. Ngo Trong Quang	Members of the Board of Directors	29/04/2021	29/04/2021
		Chairman of Board	05/05/2025	
5	Mr. Ngo Anh Phuong	Members of the Board of Directors	29/04/2021	29/04/2021

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Ngo Trong Vinh	07	100%	
2	Mr. Nguyen Trong Ha	07	100%	
3	Mrs. Lam Quynh Huong	07	100%	
4	Mr. Ngo Trong Quang	07	100%	
5	Mr. Ngo Anh Phuong	07	100%	

3. Supervising the Board of Management by the Board of Directors:

The members of the Board of Directors have performed their duties of directing, supervising and promptly urging the activities of the Board of Directors through:

- The Board of Directors attended and gave opinions in important meetings of the Board of Directors in implementing the Resolutions and Decisions of the Board of Directors;
- Attended and gave opinions in the company's periodic meetings;
- Monitored and grasped the production and business operations process through the Board of Directors' internal reports;
- Inspected and supervised the operation, management, production and business organization and implementation of the Resolutions of the General Meeting of Shareholders and the Board of Directors of the company's Board of Directors. The Board of Directors met quarterly with the company's Executive Board to listen to reports on the business situation and other activities of the company, and together with the Executive Board resolved the company's work and proposed directions for the following months.

4. Activities of the Board of Directors' subcommittees (If any): Not available.

5. Resolutions/Decisions of the Board of Directors (6 months report):

No.	Resolution/ Decision No.	Date	Content	Approval rate
01	01/NQ-HĐQT	03/02/2025	Approval of the Last Registration Date to finalize the list of shareholders for the 2025 Annual General Meeting of Shareholders as follows: + Last Registration Date: March 5, 2025 + Expected date of the General Meeting of Shareholders: April 29, 2025 + Meeting location: Office of CMC Investment Joint Stock Company. The Board of Directors assigns the Company's	100%

			Executive Board to carry out the tasks related to organizing the 2025 Annual General Meeting of Shareholders in accordance with the time and plan set forth to achieve the best results.	
02	02/NQ-HĐQT	04/04/2025	<p>Approval of the 2024 Annual Report.</p> <p>Approval of the Invitation Letter and Documents for the 2025 Annual General Meeting of Shareholders.</p> <p>The Board of Directors assigns the Company's Executive Board to continue implementing the remaining tasks related to organizing the 2024 Annual General Meeting of Shareholders in accordance with the time and plan set forth to achieve the best results.</p>	100%
03	03/NQ-HĐQT	05/05/2025	<p>Approving the dismissal of Mr. Ngo Trong Vinh from the position of Chairman of the Board of Directors and Legal Representative of CMC Investment Joint Stock Company starting from May 5, 2025.</p> <p>Mr. Ngo Trong Vinh is responsible for handing over all work and related records and documents currently held to the Company's Board of Directors.</p> <p>Approving the election of Mr. Ngo Trong Quang - Member of the Board of Directors to hold the position of Chairman of the Board of Directors and Legal Representative of CMC Investment Joint Stock Company starting from May 5, 2025.</p> <p>Mr. Ngo Trong Quang has the duties and powers of Chairman of the Board of Directors and Legal Representative as prescribed in the Charter of Organization and Operation of the Company and current Law.</p> <p>Mr. Ngo Trong Quang - Chairman of the Board of Directors and Mr. Ngo Anh Phuong - General Director are 02 Legal Representatives of CMC Investment Joint Stock Company.</p> <p>Approving the appointment of Mr. Ngo Trong Vinh - Member of the Board of Directors to hold the position of Permanent Vice Chairman of the Board of Directors of CMC Investment Joint Stock Company from May 5, 2025.</p> <p>Mr. Ngo Trong Vinh has the corresponding duties and powers as prescribed in the Charter of Organization and Operation of the Company and current Law.</p>	100%
04	04/QĐ-HĐQT	10/05/2025	<p>Establish an Internal Audit Committee under the Board of Directors, consisting of the following persons:</p> <ol style="list-style-type: none"> <li>1, Nguyen Thi Mai - Head of the Committee</li> <li>2, Le Thi Hong - Member</li> <li>3, Le My Duyen - Member</li> </ol> <p>The functions and tasks of the Internal Audit Committee under the Board of Directors are specifically stipulated in the "Regulations on the operation of the Internal Audit Committee" issued under this Decision.</p>	

05	05/NQ-HĐQT	10/06/2025	<p>Approving the details of the plan to issue shares to pay dividends in 2024, as follows:</p> <ol style="list-style-type: none"> <li>1. Name of shares offered: Shares of CMC Investment Joint Stock Company</li> <li>2. Stock code: CMC</li> <li>3. Type of shares offered: Common shares</li> <li>4. Par value of shares: VND 10,000/share</li> <li>5. Number of shares issued: 4,561,050 shares</li> <li>6. Number of treasury shares: 0 shares</li> <li>7. Number of shares in circulation: 4,561,050 shares</li> <li>8. Number of shares issued: 547,326 shares</li> <li>9. Offering value calculated at par value: VND 5,473,260,000</li> <li>10. Expected charter capital after issuance is: VND 51,083,760,000</li> <li>11. Issuance ratio (number of shares expected to be issued/number of shares Number of shares in circulation: 12%.</li> <li>12. Ratio of rights exercise: 100:12 (Shareholders owning 100 shares will receive 12 shares)</li> <li>13. Purpose of issuance: Issuing shares to pay dividends in 2024.</li> <li>14. Source of capital for issuance: Undistributed profit after tax on the company's audited 2024 financial statements.</li> <li>15. Expected issuance time: End of Quarter II/2022 or Quarter III/2025, after receiving a written notification from the State Securities Commission on receipt of documents on issuing shares to pay dividends.</li> <li>16. Issuance subjects: Existing shareholders on the record date to exercise the right to receive dividends in shares.</li> <li>17. Issuance method: Issuing to existing shareholders according to the method of exercising rights. The right to receive dividends in shares is not transferable.</li> <li>18. Transfer restrictions: shares issued to pay dividends are not subject to transfer restrictions.</li> <li>19. Plan for handling fractional shares: The number of additional shares issued to pay dividends in shares when distributed to shareholders will be rounded down to the nearest unit. The fractional decimal shares (if any) will be canceled.</li> </ol> <p>For example: Shareholder A owns 128 shares on the shareholder record date. With the rights exercise ratio of 100:12, shareholder A will receive <math>(128/100 * 12) = 15.36</math> new shares. According to the above calculation principle, shareholder A will</p>	
----	------------	------------	--	--

			<p>receive 15 new shares. The decimal part of 0.36 shares will be canceled.</p> <p>20. The positive difference between the total expected profit to be paid as dividends in shares (calculated at the par value of VND 10.00/share) and the total actual value of shares distributed to existing shareholders (calculated at the par value of VND 10.00/share) will be retained in the company's undistributed profits.</p> <p>By registering additional securities at the Vietnam Securities Depository and Clearing Corporation, registering additional transactions at the Hanoi Stock Exchange for the entire number of shares additionally issued to pay dividends after completing the issuance.</p> <p>Approval of the change of Charter Capital: Approval of the change of the Company Charter (Charter Capital section) corresponding to the total value of shares (at par value) actually issued according to the above issuance plan and adjustment of the Business Registration Certificate at the Hanoi City Department of Finance after the State Securities Commission notifies in writing of the receipt of the issuance results report. The Board of Directors authorizes and assigns the Company's Board of Directors to direct and implement necessary tasks in accordance with the provisions of law to complete the issuance.</p>	
06	06/NQ-HĐQT	16/06/2025	<p>Through the Last Registration Date to exercise the right to pay dividends in 2024 by shares with the following contents:</p> <ol style="list-style-type: none"> <li>1. Last registration date: June 30, 2025</li> <li>2. Reason and purpose: Pay dividends in 2024 by shares</li> <li>3. Specific contents: <ul style="list-style-type: none"> <li>- Implementation ratio: 100:12 (A person owning 100 shares will receive 12 shares)</li> <li>- Rounding plan, handling plan for fractional shares: The number of shares issued additionally to pay dividends in shares when distributed to shareholders will be rounded down to the unit. The number of fractional shares (if any) will be canceled.</li> <li>- For example: Shareholder A owns 128 shares on the shareholder record date. With the right exercise ratio of 100:12, shareholder A receives <math>(128/100 * 12) = 15.36</math> new shares. According to the above calculation principle, shareholder A receives 15 new shares.</li> </ul> </li> </ol> <p>The decimal part of 0.36 shares will be canceled.</p> <p>- Implementation location:</p> <p>+ For depository securities: The owner completes the procedure to receive dividends in shares</p>	

			<p>at the Depository Members (TVLK) where the depository account is opened</p> <p>+ For unregistered securities: The owner shall complete procedures to receive dividends in shares at the Headquarters of CMC Investment Joint Stock Company at Alley 83, Ngoc Hoi Street, Hoang Liet Ward, Hoang Mai District, Hanoi City and present the citizen identification card/identity card/Business registration certificate/other documents containing information identifying the securities owner as prescribed or a legal Power of Attorney (in case of authorization to receive the Certificate of Share Ownership).</p> <p>The Board of Directors authorizes and assigns the Company's Board of Directors to be responsible for completing relevant documents and procedures as prescribed by law for implementation.</p>	
07	07/NQ-HĐQT	20/06/2025	<p>Select NVA Auditing Company Limited Branch to audit semi-annual financial statements and audit 2025 financial statements</p> <p>The Board of Directors authorizes the General Director to represent the Company in signing an audit service contract with NVA Auditing Company Limited Branch, including contract appendices and amended and supplemented contracts (if any); decide and perform all related work</p> <p>in the process of signing and implementing the contract.</p>	

### III. Board of Supervisors (6 months report):

#### 1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors/	Qualification
1	Mrs. Nguyen Tuyet Quynh	The Head of the Board of Supervisors	29/04/2021	Bachelor of Finance accountant
2	Mr. Dang Phan Cuong	The member of the Board of Supervisors	29/04/2021	Master of Finance bank
3	Mrs. Nguyen Thi Hue	The member of the Board of Supervisors	29/04/2021	Bachelor of Economics

#### 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Nguyen Tuyet Quynh	02	100%	100%	

2	Mr. Dang Phan Cuong	02	100%	100%	
3	Mrs. Nguyen Thi Hue	02	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

#### 3.1. Results of monitoring the activities of the Board of Directors

- Monitoring the activities of the Board of Directors: Regarding the implementation of the Resolution of the Annual General Meeting of Shareholders. Regarding the issuance of Resolutions and decisions related to production and business activities in accordance with the provisions of the Company's charter and the provisions of law.

- The Board of Directors has performed well its role in directing, supervising and supporting the Board of Directors to effectively operate production and business activities according to the targets approved by the General Meeting of Shareholders. The Board of Directors has seriously monitored the production and business activities of the Company, and at the same time continuously sought new investment opportunities to stabilize and develop the Company.

- The Board of Directors of CMC Investment Joint Stock Company has been implementing the contents of the Resolution of the 2025 Shareholders' Meeting.

#### 3.2. Results of monitoring the activities of the Board of Directors

- Monitoring the activities of the Board of Directors: Regarding the implementation of resolutions and decisions of the Board of Directors; Regarding the management of production, business and investment of the unit with the goals and solutions to ensure the completion of business targets and tasks in 2024; Regarding the preparation of periodic financial reports and the business performance of the Company.

- The Board of Directors clearly and specifically assigns tasks to each member in charge of each field. Regularly reviews and evaluates the production and business situation, promptly corrects production and business activities in accordance with the general direction, promptly resolves difficulties and obstacles in the production, business and investment activities of the Company.

- The Executive Board has done a good job of preparing periodic financial reports on the business performance of the Company. Strictly organize the implementation of the Resolution of the General Meeting of Shareholders as well as the Resolutions and decisions of the Board of Directors of the Company, in accordance with the Charter and provisions of law. Propose that the Board of Directors of the Company consider business, investment and financial issues of CMC Investment Joint Stock Company.

#### 3.3. For shareholders.

- The Board of Supervisors reports to the 2025 Annual General Meeting of Shareholders on the activities of the Board of Supervisors and the 2025 operation plan. Report on the results of monitoring the Board of Directors and the Executive Board of CMC Investment Joint Stock Company.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- The Board of Supervisors has coordinated with departments in inspection and supervision activities according to regulations. During the period, the Board of Supervisors fully participated in the Board of Directors' meetings, attended periodic meetings with the Board of Directors, and the Company's functional departments.

- The Board of Directors and the Board of Directors have cooperated and created favorable conditions for the Board of Supervisors to perform its duties, such as providing information and documents, and staff coordinating with the Board of Supervisors when requested. The Board of Directors and the Board of Directors have created favorable conditions for the Board of Supervisors to participate in all meetings.

- The Board of Supervisors has informed the Board of Directors and the Board of Directors about the work plan; inspection and control plan for the year, and coordinated well with the Company's functional departments.

- The Board of Supervisors periodically reviews the Company's financial reports every quarter. Analyzes, evaluates and compiles statistics on indicators to support the Company's management and operation.

- The Board of Supervisors is provided with full information on the decisions of the Board of Directors. Communication, exchange or coordination between the Board of Supervisors and members of the Board of Directors and the Executive Board are conducted regularly and smoothly.

5. Other activities of the Board of Supervisors: Not available.

#### IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management /
01	Mr. Ngo Anh Phuong	20/10/1994	Bachelor of Finance	05/05/2021

#### V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Nguyen Trong Ha	16/08/1969	Bachelor of Finance accountant	05/05/2021

#### VI. Training courses on corporate governance:

Assign Company leaders, Company administrators and information disclosers to fully participate in training courses and instructions on new Circulars and Decrees of the Ministry of Finance, the State Securities Commission, the Hanoi Stock Exchange and the Vietnam Securities Depository and Clearing Corporation.

#### VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company)

##### 1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
I	<b>Ngo Trong Vinh</b>		<b>Vice Chairman of BOD</b>		<b>No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi</b>	05/05/2025			
1	Le Thi Tuyet Nhung				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	05/05/2025			Wife
2	Ngo Anh Phuong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	05/05/2025			Son



3	Ngo Thuy Duong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	05/05/2025			Daughter
4	Nguyen Thi La				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Mother
5	Ngo Trong Quang				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Brother
6	Le Ngoc Van				52 Vo Thi Sau, Thanh Nhan, Hai Ba Trung, Hanoi	05/05/2025			Father in law
7	Cao Thi Tuyet Le				52 Vo Thi Sau, Thanh Nhan, Hai Ba Trung, Hanoi	05/05/2025			Mother in law
8	Ngo Trong Thuan					05/05/2025			Father
9	Ngo Trong Sang					05/05/2025			Brother
10	Hoang Thi Chinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Sister in law
11	Ngo Thi Mui				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Sister in law
12	Hanoi City Educational Book Joint Stock Company				2nd Floor, Building D, Vinaconex 1 Office and Luxury Apartment Complex – 289A Khuat Duy Tien, Trung Hoa Ward, Cau Gay, Hanoi	05/05/2025			Chairman of the Board
13	Ho Chi Minh City Educational Book Joint Stock Company				363 Hung Phu Street, Ward 9, District 8, HCMC"	05/05/2025			Chairman of the Board
14	Light Electricity and Telecommunications Joint Stock Company				14 Le Duan, Dong Da, Hanoi	05/05/2025			Chairman of the Board

15	Railway Signal Telecom municatio ns Joint Stock Company				Alley 115 Dinh Cong Street, Thinh Liet, Hoang Mai, Hanoi	05/05/2025			Chairman of the Board
16	HCGC Hanoi Surveyin g and Measure ment Joint Stock Company				2nd Floor, Lot E, Ha Yen Quyet Street, Yen Hoa, Cau Giay, Hanoi	05/05/2025			Chairman of the Board
<b>II</b>	<b>Ngo Trong Quang</b>		<b>Chairman of BOD</b>		<b>No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi</b>	<b>05/05/2025</b>			
1	Hoang Thi Chinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Wife
2	Ngo Anh Thu				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Daughter
3	Ngo Anh Thai				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Son
4	Nguyen Thi La				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Mother
5	Ngo Trong Vinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Brother
6	Le Thi Tuyet Nhungs				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	05/05/2025			Sister in law
7	Ngo Thi Mui				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	05/05/2025			Sister in law
8	Ngo Trong Thuan					05/05/2025			Father
9	Ngo Trong Sang					05/05/2025			Brother
10	Nhat Phuong Trading and Construct ion Co., Ltd.				Alley 83 Ngoc Hoi Street, Hoang Liet, Hoang Mai, Hanoi	05/05/2025			General Director

III	Lam Quynh Huong		Member of BOD Administrator, Authorized Information Disclosure Person, Board Secretary		No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			
1	Doan Thi Lien				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Mother
2	Lam Thanh Tung				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Brother
3	Lam My Duyen				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Sister
4	Lam Kha An				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Daughter
5	Nguyen Hong Lam				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Brother in law
6	Le Thi Giang				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	29/04/2021			Sister in law
7	Lam Tien Thinh								Father
IV	Nguyen Trong Ha		Member of BOD, Chief Accountant		No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			
1	Nguyen Trong Nha					29/04/2021			Father
2	Nguyen Thi Loan				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Mother

3	Nguyen Thi Dieu Huyen					29/04/2021			Sister
4	Nguyen Thi Le Hang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Sister
5	Tran Thi Thu Hang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Wife
6	Nguyen Ha Linh				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Daughter
7	Nguyen Ha Trang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Daughter
8	Nguyen Trong Phuc				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	29/04/2021			Son
9	Le Hong Thang				Area 5, Hung Son Collective, Lam Thao, Phu Tho	29/04/2021			Brother in law
10	Nguyen Hoang				No. 1, Le Phung Hieu Street, Trang Tien, Hoan Kiem, Hanoi	29/04/2021			Brother in law
11	Tran Duc Hieu				Alley 385, Giai Phong, Phuong Liet, Thanh Xuan, Hanoi	29/04/2021			Father in law
12	Dang Thi Phuong				Alley 385, Giai Phong, Phuong Liet, Thanh Xuan, Hanoi	29/04/2021			Mother in law
V	<b>Ngo Anh Phuong</b>		<b>Member of BOD</b>		No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	<b>29/04/2021</b>			
1	Le Thi Tuyen Nhung				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	29/04/2021			Mother
2	Ngo Trong Vinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	29/04/2021			Father
3	Ngo Thuy Duong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	29/04/2021			Sister

VI	Nguyen Thi Hue		Member of the Board of Supervisors		No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi				
1	Nguyen Thi An				Hamlet 5, Nghi Dien Commune, Nghi Loc, Nghe An	29/04/2021			Mother
2	Nguyen Thi Huyen				Battalion 4, Brigade 283, Military Region 4. Hamlet 10, Nghi Phu Commune, Vinh City.	29/04/2021			Sister
3	Nguyen Quoc Dat				Logistics Department, Workshop 467, Technical Department of Military Region 4. Dang Thai Mai Street, Hung Dong Commune, Vinh City.	29/04/2021			Brother
4	Ha Huy Hung				Technical Department, Brigade 283, Military Region 4. No. 123 Le Hong Phong, Hung Phuc Ward, Vinh City.	29/04/2021			Brother in law
5	Hoang Thi Hiep				Center for Tropical Diseases, Nghe An General Hospital.	29/04/2021			Sister in law
6	Phung Canh Trung				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	29/04/2021			Husband
7	Phung Canh Duc				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	29/04/2021			Son
8	Phung Canh Lam				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	29/04/2021			Son
VII	Nguyen Tuyet Quynh		The Head of the Board of Supervisors		No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi				
1	Nguyen Duc Manh				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	29/04/2021			Father

2	Nguyen Thi Doan Trang				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	29/04/2021			Mother
3	Nguyen Quynh Anh				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	29/04/2021			Sister
VIII	Dang Phan Cuong		Member of Board of Supervisors		P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi				
1	Phan Thi Tuyet Nga				P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi	29/04/2021			Mother
2	Dang Mai Linh				Student in USA	29/04/2021			Sister
3	Dang Duy Linh				P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi	29/04/2021			Son
IX	Nguyen Thi Mai		Head of Internal Audit Department		Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			
1	Luu Cong Chuan				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Husband
2	Luu Tue Linh				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Daughter
3	Luu Ngoc Minh Chau				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Daughter
4	Nguyen Van Manh				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Father
5	Nguyen Thi Mien				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Mother
6	Nguyen				Thanh Binh	10/05/2025			Sister

	Thi Lan Anh				Commune, Chuong My District, Hanoi City				
7	Nguyen Thi Minh				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Sister
8	Nguyen Thanh Dat				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Brother
9	Nguyen Dinh Truong				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Brother-in-law
10	Luu Cong Nghieu				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Father-in-law
11	Ngô Thị Muôn				Thanh Binh Commune, Chuong My District, Hanoi City	10/05/2025			Mother-in-law
<b>X</b>	<b>Le My Duyen</b>		<b>Member of Internal Audit Committee</b>		<b>Ha Huong, Lien Ha, Dong Anh, Hanoi</b>	<b>10/05/2025</b>			
1	Le Van Dung				Ha Huong, Lien Ha, Dong Anh, Hanoi	10/05/2025			Father
2	Duong Thi Cuc				Ha Huong, Lien Ha, Dong Anh, Hanoi	10/05/2025			Mother
3	Le Duy				Ha Huong, Lien Ha, Dong Anh, Hanoi	10/05/2025			Brother
<b>XI</b>	<b>Le Thi Hong</b>		<b>Member of Internal Audit Committee</b>		<b>Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa</b>	<b>10/05/2025</b>			
1	Le Ba Chinh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	10/05/2025			Father
2	Vu Thi Minh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	10/05/2025			Mother
3	Le Thi Thanh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	10/05/2025			Sister
4	Le Ba Thinh					10/05/2025			Brother

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons. Not available.

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the	Resolution No. or Decision No.	Content, quantity, total value of	Note
-----	----------------------------------	-------------------------------	--	---------	-------------------------------	--------------------------------	-----------------------------------	------

					Company	approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	transaction	
01	Hanoi Surveying and Measuring Joint Stock Company	Affiliated person		2nd Floor, Lot E, Ha Yen Street, Yen Hoa Ward, Cau Giay District, Hanoi			Borrowing money to serve the Company's business activities 11,370,000,000 VND	
02	Railway Signal Telecommunications Joint Stock Company	Affiliated person		Lane 115 Dinh Cong, Thinh Liet, Hoang Mai, Hanoi			Borrow money to serve the Company's business activities 5,000,000,000 VND	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power. Not available

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	/ Content, quantity, total value of transaction		Note
-----	----------------------	------------------------------------	--------------------------------	--	---------	---	---------------------	---	--	------

4. Transactions between the Company and other objects. Not available.

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

### **VIII. Share transactions of internal persons and their affiliated persons (annual report)**

1. The list of internal persons and their affiliated persons / Transactions of internal persons and affiliated persons with shares of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
-----	---------------------------------	-------------------------------------	----------------------------------	---	---------	---	--	------



<b>I</b>	<b>Ngo Trong Vinh</b>		<b>Chairman of BOD</b>		<b>No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi</b>	1.427.650	31,30%	
1	Le Thi Tuyet Nhung				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	226.000	4.95%	
2	Ngo Anh Phuong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	858.300	18,82%	
3	Ngo Thuy Duong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	0	0	
4	Nguyen Thi La				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
5	Ngo Trong Quang				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	585.420	12,83%	
6	Le Ngoc Van				52 Vo Thi Sau, Thanh Nhan, Hai Ba Trung, Hanoi	5000	0,11%	
7	Cao Thi Tuyet Le				52 Vo Thi Sau, Thanh Nhan, Hai Ba Trung, Hanoi	0	0	
8	Ngo Trong Thuan					0	0	
9	Ngo Trong Sang					0	0	
10	Hoang Thi Chinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
11	Ngo Thi Mui				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
12	Hanoi City Educational Book Joint Stock Company				2nd Floor, Building D, Vinaconex 1 Office and Luxury Apartment Complex – 289A Khat Duy Tien, Trung Hoa Ward, Cau Gay, Hanoi	0	0	

13	Ho Chi Minh City Educational Book Joint Stock Company				363 Hung Phu Street, Ward 9, District 8, HCMC"	0	0	
14	Light Electricity and Telecommunications Joint Stock Company				14 Le Duan, Dong Da, Hanoi	0	0	
15	Railway Signal Telecommunications Joint Stock Company				Alley 115 Dinh Cong Street, Thanh Liet, Hoang Mai, Hanoi	0	0	
16	HCGC Hanoi Surveying and Measurement Joint Stock Company				2nd Floor, Lot E, Ha Yen Quyet Street, Yen Hoa, Cau Giay, Hanoi	0	0	
<b>II</b>	<b>Ngo Trong Quang</b>		<b>Member of BOD</b>		<b>No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi</b>	<b>585.420</b>	<b>12,83%</b>	
1	Hoang Thi Chinh				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
2	Ngo Anh Thu				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
3	Ngo Anh Thai				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
4	Nguyen Thi La				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0	
5	Ngo Trong Vinh		<b>Chairman of BOD</b>		No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	1.427.650	31,30 %	
6	Le Thi Tuyet Nhung				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	226.000	4.95%	
7	Ngo Thi Mui				No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	0	0%	

8	Ngo Trong Thuan					0	0	
9	Ngo Trong Sang					0	0	
10	Nhat Phuong Trading and Construct ion Co., Ltd.				Alley 83 Ngoc Hoi Street, Hoang Liet, Hoang Mai, Hanoi	153.510	3,37%	
<b>III</b>	<b>Lam Quynh Huong</b>		<b>Member of BOD</b>		<b>No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi</b>	1.950	0,042 %	
1	Doan Thi Lien				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	0	0	
2	Lam Thanh Tung				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	0	0	
3	Lam My Duyen				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	0	0	
4	Lam Kha An				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	0	0	
5	Nguyen Hong Lam				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	400	0.01%	
6	Le Thi Giang				No. 41, Alley 15/31 Tay Mo Street, Tay Mo, Nam Tu Liem, Hanoi	0	0	
7	Lam Tien Thinh					0	0	
<b>IV</b>	<b>Nguyen Trong Ha</b>		<b>Member of BOD</b>		No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	

1	Nguyen Trong Nha					0	0	
2	Nguyen Thi Loan				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
3	Nguyen Thi Dieu Huyen					0	0	
4	Nguyen Thi Le Hang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
5	Tran Thi Thu Hang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
6	Nguyen Ha Linh				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
7	Nguyen Ha Trang				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
8	Nguyen Trong Phuc				No. 3, Alley 4, Group 11, Yen Hoa, Cau Giay, Hanoi	0	0	
9	Le Hong Thang				Area 5, Hung Son Collective, Lam Thao, Phu Tho	0	0	
10	Nguyen Hoang				No. 1, Le Phung Hieu Street, Trang Tien, Hoan Kiem, Hanoi	0	0	
11	Tran Duc Hieu				Alley 385, Giai Phong, Phuong Liet, Thanh Xuan, Hanoi	0	0	
12	Dang Thi Phuong				Alley 385, Giai Phong, Phuong Liet, Thanh Xuan, Hanoi	0	0	
V	<b>Ngo Anh Phuong</b>		<b>Member of BOD</b>		<b>No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi</b>	<b>858.300</b>	<b>18,82%</b>	
1	Le Thi Tuyet Nhung	061C009972/005 C005186			No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	226.000	4.95%	
2	Ngo Trong Vinh	061C088888 005C000679			No. 211, Group 20, Hoang Van Thu, Hoang Mai, Hanoi	1.427.650	31,30 %	

3	Ngo Thuy Duong				No. 67, 8/3 Street, Minh Khai, Hai Ba Trung, Hanoi	0	0	
VI	Nguyen Thi Hue		Member of the Board of Supervisors		No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	0	0	
1	Nguyen Thi An				Hamlet 5, Nghi Dien Commune, Nghi Loc, Nghe An	0	0	
2	Nguyen Thi Huyen				Battalion 4, Brigade 283, Military Region 4. Hamlet 10, Nghi Phu Commune, Vinh City.	0	0	
3	Nguyen Quoc Dat				Logistics Department, Workshop 467, Technical Department of Military Region 4. Dang Thai Mai Street, Hung Dong Commune, Vinh City.	0	0	
4	Ha Huy Hung				Technical Department, Brigade 283, Military Region 4. No. 123 Le Hong Phong, Hung Phuc Ward, Vinh City.	0	0	
5	Hoang Thi Hiep				Center for Tropical Diseases, Nghe An General Hospital.	0	0	
6	Phung Canh Trung				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	0	0	
7	Phung Canh Duc				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	0	0	
8	Phung Canh Lam				No. 7, Lane 22 Phan Dinh Giot, Phuong Liet Ward, Thanh Xuan District, Hanoi	0	0	

VII	Nguyen Tuyet Quynh		The Head of the Board of Supervisors		No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	0	0	
1	Nguyen Duc Manh				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	0	0	
2	Nguyen Thi Doan Trang				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	0	0	
3	Nguyen Quynh Anh				No. 18, Lane 151 Nguyen Duc Canh, Tuong Mai Ward, Hoang Mai, Hanoi	0	0	
VIII	Dang Phan Cuong		Member of Board of Supervisors		P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi	0	0	
1	Phan Thi Tuyet Nga				P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi	0	0	
2	Dang Mai Linh				Student in USA	0	0	
3	Dang Duy Linh				P5C8 National Economics University Collective, Dong Tam Ward, Hai Ba Trung District, Hanoi	0	0	
IX	Nguyen Thi Mai		Head of Internal Audit Department		Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
1	Luu Cong Chuan				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
2	Luu Tue Linh				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
3	Luu Ngoc Minh Chau				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	

4	Nguyen Van Manh				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
5	Nguyen Thi Mien				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
6	Nguyen Thi Lan Anh				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
7	Nguyen Thi Minh				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
8	Nguyen Thanh Dat				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
9	Nguyen Dinh Truong				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
10	Luu Cong Nghieu				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
11	Ngô Thị Muôn				Thanh Binh Commune, Chuong My District, Hanoi City	0	0	
X	Le My Duyen		Member of Internal Audit Committee		<b>Ha Huong, Lien Ha, Dong Anh, Hanoi</b>	0	0	
1	Le Van Dung				Ha Huong, Lien Ha, Dong Anh, Hanoi	0	0	
2	Duong Thi Cuc				Ha Huong, Lien Ha, Dong Anh, Hanoi	0	0	
3	Le Duy				Ha Huong, Lien Ha, Dong Anh, Hanoi	0	0	
XI	Le Thi Hong		Member of Internal Audit Committee		<b>Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa</b>	0	0	
1	Le Ba Chinh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	0	0	
2	Vu Thi Minh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	0	0	
3	Le Thi Thanh				Noi Ty Village, Hoang Duc, Hoang Hoa, Thanh Hoa	0	0	
4	Le Ba Thinh					0	0	

2. Transactions of internal persons and affiliated persons with shares of the company: Not available

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

**IX. Other significant issues**

**CHAIRMAN OF  
THE BOARD OF DIRECTORS**



**NGO TRONG QUANG**