

**CFS INVESTMENT AND IMPORT EXPORT
TRADING JOINT STOCK COMPANY**

No: 02/BCQT-CFS

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

Ha Noi, July, 23. , 2025

REPORT ON CORPORATE GOVERNANCE

(6 month of 2025)

To: - State Security Commission of Vietnam;
- HNX Stock Exchange.

- Name of company: **CFS INVESTMENT AND IMPORT EXPORT TRADING JOINT STOCK COMPANY**
- Address of headoffice: 2nd Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, VietNam.
- Telephone: 024.3795.6869 Fax: 024 - 3795 6899 Email: info@cfscorp.vn
- Charter capital: 1.653.525.610.000VND
- Stock symbol: **KLF**
- Governance model:
General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.
- The implementation of internal audit: *Implemented*

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments): None

II. Board of Directors (6 month of 2025):

1. Information about the members of the Board of Directors:

No.	Board of Directors'	Position (Independent members of the	The date becoming/ceasing to be the member of the
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	<i>members</i>	<i>Board of Directors, Non-executive members of the Board of Directors)</i>	<i>Board of Directors</i>	
			<i>Date of appointment</i>	<i>Date of dismissal</i>
1	Mr. Nguyen Chi Cong	Chairman of the Board of Directors	January 31, 2024	
2	Mr. Bui Van Ngoc	Member of the Board of Directors	June 21, 2022	
3	Mrs. Dinh Thi Kim Oanh	Member of the Board of Directors	January 31, 2024	
4	Mr. Hoang Duy Tien	Member of the Board of Directors	January 31, 2024	
5	Mrs. Nguyen Thu Hien	Member of the Board of Directors	January 31, 2024	

2. Meetings of the Board of Directors:

<i>No.</i>	<i>Board of Director' member</i>	<i>Number of meetings attended by Board of Directors</i>	<i>Attendance rate</i>	<i>Reasons for absence</i>
1	Mr. Nguyen Chi Cong	2/2	100%	
2	Mr. Bui Van Ngoc	2/2	100%	
3	Mrs. Dinh Thi Kim Oanh	2/2	100%	
4	Mr. Hoang Duy Tien	2/2	100%	
5	Mrs. Nguyen Thu Hien	2/2	100%	

3. Supervising the Board of Management by the Board of Directors:

The Board of Directors has effectively carried out direction and supervision through the following activities:

- Resolutions and meeting minutes of the Board of Directors are sent to the General Director for implementation. At the same time, the Board of Directors attended and provided guidance in a number of important meetings of the General Director on the implementation of assigned tasks.
- Monitor and grasp the production and business management process through weekly, monthly, and quarterly reports of the General Director, and provide comments to the General Director on some important issues that arise during the operating process and carry out assigned duties and powers according to the provisions of the Company's Charter.
- The General Director regularly asks for direction from the Board of Directors to promptly resolve problems that arise during the Company's operations.

4. Activities of the Board of Directors' subcommittees (If any):

The Board of Directors has established an Internal Audit Department under the Board of Directors; In addition, the Board of Directors appoints each member of the Board of Directors to be in charge of each issue of salary, bonuses, human resources...

5. Resolutions/Decisions of the Board of Directors (6 month of 2025):

No.	Resolution/Decision No.	Date (dd/mm/yyyy)	Content	Approval rate
1	01/2025/QĐ-CTHĐQT-CFS	09/01/2025	Convening the Board of Directors' meeting to approve certain matters under its authority, including the proposal for adjustment of the asset disposal plan at FLC Complex, 36 Pham Hung, to settle debts (4th time).	
2	01/2025/NQ-HĐQT-CFS	10/01/2025	Approval of certain matters under the authority of the Board of Directors, including the proposal for adjustment of the asset disposal plan at FLC Complex, 36 Pham Hung, to settle debts (4th time).	100%
3	02/2025/QĐ-CTHĐQT-CFS	24/06/2025	Convening the Board of Directors' meeting to approve certain matters under its authority:	

			convening the 2025 Extraordinary General Meeting of Shareholders.	
4	02/2025/NQ-HĐQT-CFS	25/06/2025	Convening the 2025 Extraordinary General Meeting of Shareholders.	100%

III. Board of Supervisors (6 month of 2025):

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Mrs. Nguyen Thi Van Anh	Head of Board of Supervisors	Appointed on January 31, 2024	Bachelor of Economics
2	Mrs. Nguyen Thi Vui	Members of Board of Supervisors	Appointed on January 31, 2024	Bachelor of Business Accounting
3	Mrs. Nguyen Hoai Thu	Members of Board of Supervisors	Appointed on January 31, 2024 Dismissed on May 02, 2024	Master of financial accounting

2. Meetings of Board of Supervisors:

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Nguyen Thi Van Anh	0	0	0	
2	Mrs. Nguyen Thi Vui	0	0	0	
3	Mrs. Nguyen Hoai Thu	0	0	0	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

The Supervisory Board has assigned tasks to members and coordinated well to perform tasks on behalf of shareholders in controlling all production, business, management and operations activities of the Company.

- Check and supervise compliance with the provisions of the Enterprise Law, Securities Law, and Company Charter;

4. the coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- Regularly communicate internally as well as with the Board of Directors and General Director to ensure the Company's activities.

- Resolutions of the Board of Directors and written instructions of the General Director are always sent to the Supervisory Board for timely information and supervision of implementation.

5. Other activities of the Board of Supervisors(if any):

IV. Board of Management

No.	Members of Board of Management	Date of birth (dd/mm/yyyy)	Qualification	Date of appointment / dismissal of members of the Board of Management /
1	Mrs. Dinh Thi Kim Oanh	21/05/1984	Master	1. Appointed Deputy General Director on November 10, 2023 2. Appointed General Director on April 15, 2024

V. Chief Accountant

Name	Date of birth (dd/mm/yyyy)	Qualification	Date of appointment/ dismissal
Mrs. Tran Thanh Thuy	11/04/1981	Bachelor	Appointed on September 18, 2024

VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: Members of the Board of Directors,

members of the Supervisory Board, members of the Board of General Directors, Heads of Departments, members of the secretariat, and assistants of the Company participate in internal training courses organized by the company to continuously improve their skills. corporate governance capacity and professional capacity.

VII. The list of affiliated persons of the public company (6 month of 2025) and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Address	Time of starting to be affiliated person (dd/mm/yyyy)	Time of ending to be affiliated person (dd/mm/yyyy)	Reasons	Relationship with the Company
1	Nguyen Chi Cong		Chairman of the Board of Directors			31/01/2024			
2	Dinh Thi Kim Oanh		Member of the Board of Directors			31/01/2024			
			General Director			15/04/2024			
3	Hoang Duy Tien		Member of the Board of Directors			31/01/2024			
4	Nguyen Thu Hien		Member of the Board of Directors			31/01/2024			
5	Bui Van		Member of the Board of			21/06/2022			

	Ngoc		Directors						
6	Nguyen Thi Van Anh		Head of Board of Supervisors			31/01/2024			
7	Nguyen Thi Vui		Members of Board of Supervisors			31/01/2024			
8	Nguyen Hoai Thu		Members of Board of Supervisors			31/01/2024	Resignation Letter 02/05/2024		
9	Tran Thanh Thuy		Chief Accountant			18/09/2024			
10	Nguyen Le Thanh		Internal audit			23/07/2024	Resignation Letter 09/06/2025		
11	Nguyen Manh Tien		Internal audit			23/07/2024	Resignation Letter 09/06/2025		

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No.	Name of organization/	Relationship with the	NSH No.* date of issue, place	Address	Time of transac	Resolution No. or	Content, quantity, total value of transaction	Note
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	<i>individual</i>	<i>Company</i>	<i>of issue</i>		<i>tions with the Compa ny</i>	<i>Decision No. approved by General Meeting of Shareholde rs/ Board of Directors (if any, specifying date of issue)</i>		
1	HAI Agrochem Joint stock Company	Internal stakeholder	4103003108	28 Mac Dinh Chi, Sai Gon Ward, City. HCM	6 month of 2025		- Sales and service provision: 181.171.000đ - Buy goods and services: 3.341.246.058đ	
2	FLC Group Joint stock Company	Internal stakeholder	0102683813	5th Floor, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam	6 month of 2025		Sales and service provision: 709.920.000đ	
3	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY	Internal stakeholder	0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam	6 month of 2025		Sales and service provision: 174.280.000đ	

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

4. Transactions between the Company and other objects: (None)

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

VIII. Share transactions of internal persons and their affiliated persons (6 month of 2025)

1. The list of internal persons and their affiliated persons công ty / Transactions of internal persons and affiliated persons with shares of the Company

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nguyen Chi Cong		Chairman of the Board of Directors			0	0	
1.1	Trinh Phuong Anh					0	0	

1.2	Nguyen An Khanh					0	0	
1.3	Nguyen Dang Khoi					0	0	
1.4	Nguyen Quang Hop					0	0	
1.5	Nguyen Trung Thanh					0	0	
1.6	Vu Thi Hong Huong					0	0	
1.7	Trinh Viet Hung					0	0	
1.8	Nghiem Thi Bich Lien					0	0	
1.9	FLC Group Joint stock Company			0102683813	5th Floor, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam	0	0	
1.10	HAI Agrochem Joint stock Company			4103003108	28 Mac Dinh Chi, Sai Gon Ward, City. HCM	0	0	

2	Dinh Thi Kim Oanh		Member of the Board of Directors/ General Director			0	0	
2.1	Dinh Van Hung					0	0	
2.2	Ngo Bich Ky					0	0	
2.3	Hoang Anh					0	0	
2.4	Hoang Trong Nghia					0	0	
2.5	Do Thi Ngoc Yen					0	0	
2.6	Hoang Gia Bach					0	0	
2.7	Hoang Minh Chau					0	0	
2.8	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY			0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam	0	0	
3	Bui Van Ngoc		Member of the Board of Directors			0	0	

3.1	Bui Duc Lam					0	0	
3.2	Lam Thi Nam					0	0	
3.3	Nguyen Manh Ha					0	0	
3.4	Pham Thi Ninh					0	0	
3.5	Nguyen Thi Thu Trang					0	0	
3.6	Bui Nguyen Nhat Minh					0	0	
3.7	Bui Khoi Nguyen					0	0	
3.8	Bui Thi Quy					0	0	
3.9	Do Huy Lai					0	0	
3.10	Bui Van Hung					0	0	
3.11	Bui Van Hao					0	0	
3.12	Bui Thi Thuy					0	0	
3.13	Tran Van Ninh					0	0	
3.14	Bui Thi Thuy					0	0	
3.15	Le Van Toan					0	0	

3.16	Tran Nam Ha					0	0	
3.17	Doan Thi Huyen					0	0	
3.18	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY			0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, Tu Liem Ward, Hanoi City, Vietnam	0	0	
4	Hoang Duy Tien		Member of the Board of Directors			0	0	
4.1	Hoang Duy Ta					0	0	
4.2	Le Thi Tuy					0	0	
4.3	Pham Thi Hoa Le Diem					0	0	
4.4	Hoang Lan Chi					0	0	
4.5	Nguyen Thi Thanh Ha					0	0	
4.6	Hoang Van Dong					0	0	
4.7	FLCHOMES Real Estate Investment Business and			2802383456	FLC Landmark Building, Lane 5 Le Duc Tho Street, Tu Liem Ward, Hanoi City,	0	0	Dismissed on 18/02/2025

	Development				Vietnam			
5	Nguyen Thu Hien		Member of the Board of Directors			0	0	
5.1	Nguyen Xuan Duc					0	0	
5.2	Nguyen Thi Dong					0	0	
5.3	Do Huy Duc					0	0	
5.4	Do The Chan					0	0	
5.5	Vu Thi Nguyet Hang					0	0	
5.6	Do Hien Anh					0	0	
5.7	Do Duc Anh					0	0	
5.8	Nguyen Xuan Thang					0	0	
5.9	Ha Thanh Lua					0	0	
6	Nguyen Thi Van Anh		Head of Board of Supervisors			0	0	
6.1	Nguyen Xuan Dai					0	0	

6.2	Bui Canh Toan					0	0	
6.3	Nguyen Xuan Hung					0	0	
6.4	Tran Thi Hoa					0	0	
6.5	Pham Thi Gam					0	0	
6.6	Bui Canh Hoang					0	0	
6.7	Bui Tien Dung					0	0	
6.8	Bui Nguyen Hoang Van					0	0	
6.9	Bui Hoang Anh					0	0	
6.10	Nguyen Hong Quang					0	0	
6.11	Luu Le Thu Trang					0	0	
7	Nguyen Thi Vui		Members of Board of Supervisors			0	0	
7.1	Nguyen Quang Tinh					0	0	
7.2	Nguyen Thi Ngo					0	0	
7.3	Nguyen Quang Hoan					0	0	

7.4	Trinh Thi Tuoi					0	0	
7.5	Nguyen Trong Thi					0	0	
7.6	Phan Thi Huong					0	0	
7.7	Nguyen Xuan Thang					0	0	
7.8	Nguyen Xuan Hoang					0	0	
8	Tran Thanh Thuy		<i>Chief Accountant</i>			0	0	
8.1	Tran Minh Tan					0	0	
8.2	Cao Thi Ty					0	0	
8.3	Le Tat Dat					0	0	
8.4	Le Phuc An					0	0	
8.5	Le Duc Huy					0	0	
8.6	Dinh Thi Nguyet					0	0	
8.7	Tran My Hanh					0	0	
8.8	Nguyen Viet Duc					0	0	

2. Transactions of internal persons and affiliated persons with shares of the company: none

IX. Other significant issues:

Stock positions of “KLF” stock:

- Suspension of trading according to Decision No. 66/QD-SGDHN, February 5, 2024 due to: TCĐKGD is a company that is forced to be delisted due to serious violations of information disclosure obligations as prescribed at point o Clause 1 Article 120 of Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of Securities Law, in the case of shares being suspended from trading according to the provisions of Point dd, Clause 1, Article 36 of the Regulation on registration and management of unlisted securities transactions.

- Warning according to Decision No. 717/QD-SGDHN, July 9, 2024 due to: GDKGD has not held the 2024 Annual General Meeting of Shareholders within the maximum period from the end of the fiscal year according to regulations in Clause 2 Article 139 of the Enterprise Law; in the case of stocks being warned according to the provisions of Point b, Clause 1, Article 33 of the Regulations on Registration and Management of Unlisted Securities Transactions.

- Restricted trading according to Decision No. 634/QD-SGDHN, May 23, 2025 due to: TCĐKGD's late submission of audited financial statements for 2023 and 2024 and reviewed semi-annual financial statements for 2024 more than 45 days from the end date of the period for information disclosure as prescribed; in the case of shares subject to restricted trading according to the provisions of Point b, Clause 1, Article 33 of the Regulations on registration and management of unlisted securities transactions issued together with Decision No. 23/QD-HDTV, April 18, 2025 of the Board of Members of the Vietnam Stock Exchange. Restricted trading period: Only allowed to trade on Friday sessions every week from the date the shares are removed from the list of suspended trading.

✓ **CHAIRMAN OF THE BOARD OF DIRECTORS**
(Sign, full name and seal)



NGUYEN CHI CONG